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3 **Phylogenomic analyses show repeated evolution of**
4 **hypertrophied lips among Lake Malawi cichlid fishes**
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1 Abstract

2 Cichlid fishes have repeatedly evolved an astounding diversity of trophic morphologies. For
3 example, hypertrophied lips have evolved multiple times in both African and Neotropical
4 cichlids and could have even evolved convergently within single species assemblages such
5 as African Lake Malawi cichlids. However, the extremely high diversification rate in Lake
6 Malawi cichlids and extensive potential for hybridization has cast doubt on whether even
7 genome-level phylogenetic reconstructions could delineate if these types of adaptations
8 have evolved once or multiple times. To examine the evolution of this iconic trait using
9 protein-coding and non-coding single nucleotide polymorphisms (SNPs), we analyzed the
10 genomes of 86 Lake Malawi cichlid species, including 33 *de novo* resequenced genomes.
11 Surprisingly, genome-wide protein-coding SNPs exhibited enough phylogenetic
12 informativeness to reconstruct inter- and intra-specific relationships of hypertrophied lip
13 cichlids, although non-coding SNPs provided better support. However, thinning of non-
14 coding SNPs indicated most discrepancies come from the relative smaller number of protein-
15 coding sites and not from fundamental differences in their phylogenetic informativeness.
16 Both coding and non-coding reconstructions showed that several “sand-dwelling”
17 hypertrophied lip species, sampled intraspecifically, form a clade interspersed with a few
18 other non-hypertrophied lip lineages. We also recovered *Abactochromis labrosus* within the
19 rock-dwelling “mbuna” lineage, starkly contrasting with the affinities of other hypertrophied lip
20 taxa found in the largely sand-dwelling “non-mbuna” component of this radiation.
21 Comparative analyses coupled with tests for introgression indicate there is not widespread
22 introgression between the hypertrophied lip lineages and taken together suggest this trophic
23 phenotype has likely evolved at least twice independently within Lake Malawi.

24 Key words

25 whole genome resequencing, mbuna, parallelism, coding vs. non-coding

26 Significance

27 Convergent evolution is widespread in nature. While this phenomenon is known to occur
28 across cichlid fishes found in different parts of the world, in this study we used genome-wide
29 SNPs to resolve whether a specialized trophic morphology, hypertrophied lips, evolved
30 convergently among cichlids endemic to Lake Malawi. Our analyses provided well-supported
31 inferences of relationships even within closely related species and showed that
32 hypertrophied lips likely evolved at least twice independently within the two major radiations
33 of Lake Malawi cichlids.

34

1 Introduction

2 Evolution does repeat itself and convergently evolved adaptations speak to the non-
3 randomness of phenotypic evolution. As species diversify, ecological selective pressures
4 could commonly result in replicate evolution within divergent lineages and drive them to
5 converge on similar adaptive phenotypes. Convergent evolution is an especially common
6 feature of adaptive radiations. For instance, Darwin's finches (Grant and Grant 2008),
7 Caribbean *Anolis* lizards (Losos et al. 1998), and three-spined stickleback fishes (Rundle et
8 al. 2000; Marques et al. 2017) all have repeatedly evolved the same structural modifications.
9 Most of this replicate evolution, whether it occurred over long timeframes independently or
10 rapidly through convergence, has been documented in different geographic localities. The
11 exceptionally diverse radiations of cichlid fishes offer classic examples of these allopatrically
12 derived convergent phenotypes (Meyer 1993; Kocher et al. 1993; Stiassny and Meyer 1999;
13 Salzburger et al. 2005; Salzburger 2009; Elmer and Meyer 2011; Muschick et al. 2012;
14 Kratochwil et al. 2018; Ronco et al. 2021). However, parallelism can also occur within the
15 same geographic region and even within the same closely related lineage (Elmer et al. 2011
16 PTRS; Torres-Dowdall and Meyer 2021). Yet, when similar phenotypes arise in the same
17 geographic locations over short timeframes, especially in highly diverse radiations, it is
18 difficult for even genome-wide data to evaluate whether traits have arisen only once, evolved
19 independently, originated in parallel, been retained as ancient polymorphisms, or are shared
20 among taxa due to hybridization (Hulseley et al. 2019; Kautt et al. 2020). To evaluate between
21 these evolutionary alternatives, i.e., whether a particular adaptive phenotype arose once or
22 multiple times in a classic example of adaptive radiation, we evaluated the ability of both
23 protein-coding and non-coding data obtained from whole genome resequencing to delineate
24 the evolution of hypertrophied lips in Lake Malawi cichlids.

25 Cichlids have acquired a huge diversity of trophic morphologies that are specialized
26 for different feeding niches, and hypertrophied lips are one of the most easily recognized
27 phenotypes that have evolved multiple times independently (Burruss et al. 2013; Colombo et
28 al. 2013; Manousaki et al. 2013; Henning and Meyer 2014; Baumgarten et al. 2015; Henning
29 et al. 2017). This distinct trophic innovation found in both Neotropical and African cichlid
30 lineages is typically associated with fish that forage in rocky substrates where the lips may
31 act as a seal to help suck prey from in between narrow cracks and crevices (Ribbink et al.
32 1983; Baumgarten et al. 2015), absorb stress from repeated contact with hard and rough
33 surfaces (Fryer and Iles 1972; Greenwood 1974), and/or enhance prey detection by
34 providing an enlarged area for taste receptors (Oliver and Arnegard 2010). This morphology
35 also exhibits strong feeding tradeoffs with hypertrophied lip fish being more efficient at
36 extracting prey from crevices but less apt at capturing evasive prey in open water (Elmer et

1 al. 2010; Colombo et al. 2013; Machado-Schiaffino et al. 2017). Lip size may not only be
2 important in terms of natural selection, but also play a role in sexual selection since
3 assortative mating based on lip size has been found in polymorphic populations (Machado-
4 Schiaffino et al. 2014; Machado-Schiaffino et al. 2017). Considerable plasticity in the trait
5 has also been observed in the laboratory possibly hinting that this trait can be acquired and
6 lost over short timeframes (Machado-Schiaffino et al. 2014; Machado-Schiaffino et al. 2017).
7 The relative ease of diagnosing this qualitative phenotype that has testable ecological
8 consequences makes it a model trait for studying adaptive evolution and convergence.

9 The hypertrophied lip phenotype is also one of the iconic examples of a trait that has
10 arisen independently in all three major cichlid adaptive radiations that are endemic to East
11 Africa's largest great lakes. This specialized morphology is found in *Haplochromis chilotes*
12 from Lake Victoria, *Lobochilotes labiatus* from Lake Tanganyika, as well as eight species
13 (including one undescribed species) native to Lake Malawi (Fryer and Iles 1972; Oliver and
14 Arnegard 2010). Given the recurrent evolution of hypertrophied lips across these major East
15 African cichlid lineages, it is plausible that the phenotype has also evolved independently
16 multiple times in Lake Malawi (Hulsey et al. 2018). With roughly 850 species of
17 haplochromine cichlids inhabiting this large African lake (Figure 2), the opportunity for
18 adaptive traits to arise repeatedly in Malawi is considerable (Danley and Kocher 2001;
19 Genner and Turner 2005; Konings 2007). The vast majority of Lake Malawi endemic cichlids
20 belong to the tribe Haplochromini, but in this lake, have traditionally been placed in two main
21 lineages: the primarily rock-dwelling mbuna (Genner and Turner 2005) and the largely sand-
22 dwelling non-mbuna (Danley and Kocher 2001). These major ecomorphological groups have
23 also consistently been recovered as distinct clades in molecular phylogenetic analyses
24 (Meyer et al. 1990; Meyer 1993; Meyer et al. 1994, 1996; Hulsey et al. 2017; Hulsey et al.
25 2018; Malinsky et al. 2018). Additionally, all but one species of hypertrophied lip taxa are
26 classified as non-mbuna haplochromines and have been assorted into different genera
27 largely on the basis of body pigmentation patterns (Fryer and Iles 1972; Arnegard and
28 Snoeks 2001; Snoeks 2004; Konings 2007). The one putative mbuna hypertrophied lip
29 species, *Abactochromis labrosus*, is an evolutionarily enigmatic cichlid (Trewavas 1935;
30 Eccles and Trewavas 1989; Oliver and Arnegard 2010). Only a single phylogenetic study
31 has included *A. labrosus* along with a limited number of taxa (15 Lake Malawi species in
32 total) and this was based solely on mitochondrial control region sequences that inferred this
33 species split at the base of the mbuna radiation (Meyer et al. 1996). Hypertrophied lips
34 provide a readily diagnosable and potentially phylogenetically labile phenotype that could
35 provide a more general model to test alternative hypotheses about what we can infer
36 regarding how novel traits tend to evolve in Lake Malawi.

1 Previous work with mitochondrial DNA, nuclear AFLP loci, and ultraconserved
2 elements (UCEs) have all repeatedly highlighted the issues in obtaining a clear phylogenetic
3 consensus for the Malawi radiation (Kocher et al. 1995; Salzburger and Meyer 2004; Hulsey
4 et al. 2010; Joyce et al. 2011; Hulsey et al. 2013; Hulsey et al. 2018). In seeking to address
5 whether the hypertrophied lip phenotype originated multiple times among Lake Malawi
6 cichlids, Hulsey et al. (2018) evaluated the relationships of hypertrophied lip taxa from
7 several genera by analyzing single nucleotide polymorphisms (SNPs) from UCEs. Their
8 results suggested that *Cheilochromis euchilus*, *Ectochromis ornatus*, *Placidochromis*
9 *milomo*, and *Placidochromis* “Mbenji fatlip”, all taxa that forage along rocky shores (Froese
10 and Pauly 2021), along with several normal-sized lip species form a clade and that this
11 remarkable phenotype and trophic guild originated just once among non-mbuna
12 haplochromines (Hulsey et al. 2018). Conversely, the disparate placement of hypertrophied
13 lip species in reconstructions based on whole genome sequences suggests that the
14 enlarged lip condition either evolved more than once or possibly reverted to the ancestral
15 condition in several closely related lineages (Malinsky et al. 2018). However, a lack of intra-
16 specific sampling with respect to these taxa in that study limited what can be inferred
17 regarding their species-level relationships. Further, these previous studies contrasted with
18 findings from earlier analyses based on mitochondrial data that found these hypertrophied lip
19 taxa to be highly polyphyletic with *P. milomo* inferred to be nested even within the mbuna
20 (Hulsey et al. 2010; Joyce et al. 2011).

21 Phylogenomic studies have traditionally relied more heavily on coding sequences
22 due to the relative ease of PCR-amplification and the ease of identifying orthologous
23 sequences in conserved amino acids that facilitates straightforward alignment of
24 homologous sequences (Townsend et al. 2008; Thomson et al. 2010). However, when
25 compared with other faster-evolving non-coding regions, the more conserved nature of
26 coding sequences may limit the ability to resolve the evolutionary history of recent and rapid
27 adaptive radiations (Meyer 1994; El Taher et al. 2021). Further, coding sequences could
28 more often be functionally constrained, potentially prone to convergent evolution, and carry
29 signal incongruent with the true species tree (Steinke et al. 2006; Parker et al. 2013). In
30 contrast, non-coding regions are generally less susceptible to convergence, exhibit greater
31 variability because of their faster substitution rates, and are informative at shallow
32 evolutionary timescales (Meyer et al. 1990; Chojnowski et al. 2008; Yu et al. 2011; Foley et
33 al. 2015). Given these factors, non-coding data may provide more robust phylogenetic signal
34 than coding sequence data in a rapid radiation like the Lake Malawi cichlids and be
35 especially useful for parsing the number of times traits like hypertrophied lips have evolved
36 (Chen et al. 2017). However, in the era of whole genome resequencing, a distinction

1 between using coding and non-coding DNA for phylogenetics could seem extraneous since
2 both can be readily obtained from the same completely sequenced genomes. Nonetheless,
3 there is an ever-increasing ability to combine genome-wide data with subsets of the genome
4 such as RAD-tag markers or transcriptome sequences (Sharma et al. 2014; Rahman et al.
5 2021). Transcriptome sequences for instance primarily produce information about protein-
6 coding sequences and a heavy reliance on this component of the genome could be
7 problematic (Lemmon and Lemmon 2013; Yang and Smith 2014; Cheon et al. 2020; Smith
8 and Hahn 2021). Distinguishing the relative ability of coding and non-coding sequences to
9 resolve phylogenetic relationships at various stages of diversification among Lake Malawi
10 cichlids could inform not only future sequencing strategies but influence inferences
11 concerning phenotypic convergence.

12 Robust species tree reconstructions play a crucial role in testing for convergence and
13 one of its most powerful uses is to reveal whether phenotypically similar traits have
14 originated once or multiple times (Omland 1999; Revell 2012). Nevertheless, our knowledge
15 of Malawi cichlid relationships and ability to draw meaningful conclusions regarding the
16 evolution of the group has long been impeded by the limited ability of molecular markers to
17 provide phylogenetic resolution (Salzburger and Meyer 2004, Hashem et al. 2020). A
18 number of factors that have complicated the phylogenetic reconstruction of Malawi cichlids
19 are also shared with the even faster evolving Lake Victoria cichlid radiation. These factors
20 include the impressive phenotypic diversity of these fishes, the recent ages of the radiations
21 (~2 million years old and perhaps as young as ~0.4 Mya) (Hulsey et al. 2010; Friedman et al.
22 2013; Genner et al. 2015; Meyer et al. 2017), exceptionally low interspecific genetic
23 divergence (Meyer et al. 1990; Malinsky et al. 2018), rampant incomplete lineage sorting
24 (Moran and Kornfield 1993), and the high potential for hybridization within the clades (Mims
25 et al. 2010; Brawand et al. 2014). In both Lakes Victoria and Malawi, all of these factors
26 could make resolving whether a trait like hypertrophied lips has originated multiple times
27 intractable.

28 Additionally, even if phylogenetic inference typically supports the non-monophyly of a
29 trait such as hypertrophied lips, it is difficult to discount that a trait might have only evolved a
30 single time. This is because traits might also have arisen once but be lost multiple times
31 thereby appearing to have evolved repeatedly. The evolutionary loss of eyes in cave
32 adapted fishes (Coghill et al. 2014), flightlessness in island birds (Wright et al. 2016), and
33 lack of terrestrial adult stages in neotenic salamanders (Riley et al. 2003; Bonett et al. 2021)
34 all have likely occurred multiple times and should not lead to the erroneous inference that
35 distinctive and complex traits such as eyes, wings, or adult forms evolved multiple times.
36 Therefore, even the best phylogenetic reconstructions of trait evolution make it difficult to

1 ascertain how many times a trait was gained and lost among exceptionally closely related
2 taxa. Furthermore, despite the fact that traits might seem to have arisen repeatedly in more
3 phylogenetically disparate groups, introgression can play a role in the phylogenetic
4 distribution of these "convergent" phenotypes (Stern 2013).

5 Inferring whether convergent phenotypes have evolved could be impacted
6 substantially by the degree of introgression that has occurred within the Lake Malawi
7 radiation (Figure 1). Given that many lineages of Malawi cichlids do hybridize (Stauffer et al.
8 1996; Streelman et al. 2004; Mims et al. 2010), interspecific gene flow even across
9 evolutionarily disparate lineages could play a large role in the phylogenetic distribution and
10 putative independent evolution of a trait like hypertrophied lips. In addition to the difficulties
11 incomplete lineage sorting pose for phylogenetic inference, if genetic admixture was rampant
12 during the diversification of Lake Malawi cichlids, tree-like phylogenetic signal may be too
13 severely obscured to resolve relationships at any level and leave us unable to assess
14 convergence in any traits (Figure 1A). Even with a better resolved phylogeny, if gene flow
15 was particularly extensive among hypertrophied lip taxa, we might fail to recover
16 conspecifics as monophyletic groups and could have difficulty inferring the number of origins
17 of a trait (Figure 1B). Alternatively, if phylogenetic structure is clearly retraceable and
18 conspecifics are found to be monophyletic even in the presence of low levels of hybridization
19 (Figure 1C), we could obtain a clear indication of whether the hypertrophied lip trait was
20 likely independently derived in multiple lineages.

21 Whole genome resequencing now offers the opportunity to resolve relationships
22 among closely related Malawi cichlids and should provide the power to reveal cases of
23 within-lake convergent evolution (Brawand et al. 2014; Conte et al. 2019). In this study, we
24 employed whole genome resequencing to explore the evolution of the hypertrophied lip
25 phenotype among Lake Malawi cichlids, evaluated the ability of SNPs from coding and non-
26 coding regions to reconstruct these and other relationships at different tree depths, and
27 tested whether substantial gene flow could explain the phylogenetic distribution of the
28 hypertrophied lip phenotype. To assess the evolutionary history of hypertrophied lip taxa, we
29 sampled *Abactochromis labrosus* along with four hypertrophied lip non-mbuna species
30 (three of which were represented by multiple intraspecific samples), additional lineages of
31 normal-lipped sand-dwelling non-mbuna, and a range of rock-dwelling mbuna species. This
32 broad taxonomic sampling enabled us to test i) whether hypertrophied lip non-mbuna
33 species evolved repeatedly, ii) are closely related to each other, and iii) finally narrow down
34 the enigmatic phylogenetic position of *Abactochromis* as possibly the only hypertrophied lip
35 mbuna. As it can be difficult to know whether traits evolved independently or via allele
36 sharing and adaptive introgression, we also tested for interspecific gene flow across the

1 radiation with a focus on hypertrophied lip species within Malawi cichlids to see if large-scale
2 introgression could readily explain any inferences of the phenotype's repeated origins.

3 **Results and Discussion**

4 *Phylogenomics of hypertrophied lips in Lake Malawi*

5 Our phylogenetic analyses are based on 1,352,537 SNPs derived from whole
6 genome resequencing of 86 Lake Malawi cichlid species that included 33 newly generated
7 genome sequences (Supplementary Table 1). We recovered robust phylogenetic
8 hypotheses of Malawi cichlid relationships based on concatenation (IQ-TREE, Nguyen et al.
9 2015) and multi-species coalescent-based (SVDquartets - Chifman and Kubatko 2014)
10 approaches that inferred largely similar species trees across both the non-coding and coding
11 SNP datasets (Figures 2 & 3, Supplementary Figure 1). All analyses found distinct, well
12 supported clades containing the rock-dwelling mbuna and primarily sand-dwelling non-
13 mbuna (nodes B and C, respectively Figures 2 and 3, Supplementary Figure 1: 100 UFBS /
14 100 BS). Further, the hypertrophied lip taxa were unambiguously resolved as polyphyletic
15 (node A of Figures 2 and 3 represents the inferred MRCA of all hypertrophied lip species).
16 *Abactochromis labrosus* is clearly nested within the mbuna and shares a close affinity to the
17 other rock-dwelling lineages *Labidochromis*, *Iodotropheus sprengerae*, and the two
18 *Melanochromis* species examined. This result corroborates relatively recent taxonomic work
19 that proposed that *A. labrosus* is distinct from species of *Melanochromis* but is still likely a
20 member of the mbuna (Oliver and Arnegard 2010). However, the two *Labidochromis* species
21 sampled are not monophyletic with *L. ianthinus* and *I. sprengerae* grouping together and *L.*
22 *gigas* clustering with *Melanochromis*. The hypertrophied lip taxa *Cheilochromis euchilus*,
23 *Electochromis ornatus*, *Placidochromis milomo*, and *Placidochromis* "Mbenji fatlip" form a
24 clade within the non-mbuna that also contains a few non-hypertrophied lip species
25 (*Chilotilapia rhoadesii*, *Hemitaenichromis spilopterus*, and *Placidochromis johnstoni*) (node
26 D, Figures 1 and 2: 100 UFBS / 99 BS (non-coding) / 67 BS (coding)). *Cheilochromis*
27 *euchilus* and the normal-lipped *C. rhoadesii* are recovered as sister taxa in both the ML and
28 SVDquartet reconstructions. While *P. milomo* and *P. johnstoni* compose another group
29 according to our IQ-TREE reconstruction, SVDquartets found *P. milomo* as the sister to the
30 other hypertrophied lip taxa + *C. rhoadesii*, *H. spilopterus*, and *P. johnstoni*. Our
31 phylogenetic results support the notion that there has likely been repeated evolution of the
32 hypertrophied lip phenotype in Lake Malawi cichlids.

33 As we did not recover a group exclusively composed of hypertrophied lip non-mbuna,
34 the question remains as to whether enlarged lips arose once or multiple times among these
35 taxa. We investigated this further with maximum likelihood based ancestral state

1 reconstruction. From this analysis, the approximately 50% probability that the ancestor of
 2 any specific hypertrophied lip species and its close relatives possessed enlarged lips
 3 suggests that there could have been multiple transitions to the hypertrophied-lip phenotype
 4 within this relatively small sub-clade of the non-mbuna (see reconstructions for lineages
 5 nested under node D, Figure 2, Supplementary Figure 2). An alternative explanation for this
 6 pattern would be that the ancestor of these lineages evolved hypertrophied lips and that
 7 there were multiple reversals back to the much more common normal-lip morphology (e.g.,
 8 with possible reversals occurring in *P. johnstoni* and/or *C. rhoadesii*). In contrast to this
 9 relative ambiguity, the probability that the common ancestor of the non-mbuna hypertrophied
 10 lip species and *Abactochromis* had hypertrophied lips is exceedingly low (0.1% - node A,
 11 Figure 2), and it is highly unlikely that the most recent common ancestor of the mbuna or
 12 non-mbuna exhibited this phenotype (0.5% - node B, 0.1% - node C, Figure 2). Based on
 13 our phylogenetic inferences coupled with ancestral state reconstruction, we can confidently
 14 conclude that there clearly are at least two independent origins of hypertrophied lips in the
 15 Lake Malawi cichlids (one in the mbuna and one in the non-mbuna).

16 However, as missing taxa may bias ancestral state reconstruction (Omland 1999;
 17 Salisbury and Kim 2001), any ancestral state reconstruction in this diverse radiation should
 18 be interpreted with caution. *Otopharynx pachycheilus*, *Lichnochromis acuticeps*, and
 19 *Trematocranus pachycheilus* are three other Malawi haplochromine cichlids with enlarged lips
 20 that were not sampled in this study. However, according to previous analysis, *L. acuticeps* is
 21 closely related to the hypertrophied lip non-mbuna (Hulsey et al. 2018). *Otopharynx*
 22 represents another genus of non-mbuna, and in line with the notion that it represents an
 23 artificial grouping (Arnegard and Snoeks 2001), is rendered polyphyletic in all our analyses
 24 (Figures 2 and 3, Supplementary Figure 1). Therefore, the relation of *O. pachycheilus*, a rare
 25 deep-water species, to the other hypertrophied lip taxa remains untested and could
 26 represent an additional origin of the hypertrophied lip phenotype in Malawi cichlids. Likewise,
 27 if the recently described *Trematocranus pachycheilus* (Dierickx et al. 2018) is indeed a
 28 congener of *Trematocranus placodon*, a species which was analyzed herein, this may
 29 represent yet another instance of convergent evolution of hypertrophied lips. Although
 30 logistically quite difficult to perform on this incredibly diverse radiation, a more quantitative
 31 assessment of the size and diversity of tissues contributing to the enlarged lips would further
 32 allow us to evaluate the degree of the repeated origins of these hypertrophied lip
 33 phenotypes.

34 Several other more generalizable phylogenetic patterns emerged from our analyses
 35 of the genomes of the 86 species of closely related Lake Malawi cichlid fishes. SNPs
 36 obtained from whole genome resequencing provided considerable power to test the

1 monophyly of diagnosed taxa, particularly when concatenated for analysis with maximum
2 likelihood in IQ-TREE. Yet, we obtained insufficient resolution for many of the relationships
3 within the mbuna based on bootstrapping of the SVDquartet reconstructions. This stood in
4 contrast to the high support across many of the mbuna estimated through ultrafast
5 bootstrapping in IQ-TREE. Additionally, depending on the reconstruction method, the pelagic
6 genera *Rhamphochromis* and *Diplotaxodon*, along with the possibly previously misidentified
7 *Mylochromis lateristriga* and *Pallidochromis tokolosh*, comprise the earliest diverging group
8 of the Malawi radiation (IQ-TREE) as has been found previously using mtDNA data (Meyer
9 et al. 1994, 1996). However, these taxa are inferred to be either the sister to the non-mbuna
10 or mbuna + *A. calliptera* clade based on SVDquartet analysis of non-coding or coding SNPs,
11 respectively. The position of *Astatotilapia calliptera*, a haplochromine also found commonly
12 outside of Lake Malawi, varied depending on reconstruction method and the SNP dataset
13 used. In most analyses, *A. calliptera* is sister to the mbuna. Yet, in our SVDquartets tree
14 derived from non-coding SNPs, *A. calliptera* was recovered as sister to the rest of the Lake
15 Malawi radiation (its traditional placement – Meyer, Montero, Spreinat 1994, 1996; Malinsky
16 et al. 2018). While many genera were recovered as monophyletic with high support across
17 analyses (i.e., *Buccochromis*, *Dimidiochromis*, *Labeotropheus*, *Nimbochromis*, and
18 *Taeniolethrinops*), there are several taxonomic groupings that appear to be artificial
19 taxonomic entities. *Placidochromis* is highly polyphyletic and several species exhibiting
20 normal sized lips (*Placidochromis electra* and *Placidochromis longimanus*) were placed
21 outside of the “sand-dwelling” non-mbuna hypertrophied lip clade, corroborating what has
22 been reported in previous studies (Hulsey et al. 2018; Malinsky et al. 2018). *Lethrinops*,
23 *Mylochromis*, and *Otopharynx* are also polyphyletic. Further, while IQ-TREE found a well-
24 supported, distinct grouping of *Copadichromis* species with *Nyassachromis prostoma* nested
25 among them, SVDquartets failed to recover any *Copadichromis* species together. A previous
26 phylogenetic study by Hulsey et al. (2018) based on SNPs extracted from UCEs recovered a
27 topology that does not strongly contradict that reported here for hypertrophied lip species.
28 This study however covered a narrower taxonomic sampling compared to that of our present
29 investigation and in general provided much weaker topological support. The linkage among
30 SNPs and whether they were protein-coding or non-coding was also not assessed for these
31 UCEs.

32 *Comparisons across genomic regions*

33 Given that coding regions are believed in general to carry less phylogenetic signal
34 than faster-evolving non-coding regions among closely related lineages, we would expect
35 coding SNPs to yield more poorly resolved trees (lower branch/node support values) for the
36 Malawi radiation than those from non-coding regions. Additionally, we might expect non-

1 coding SNPs to provide better resolution among more recently diverged lineages, especially
2 between populations or species of Malawi cichlids that have diverged so recently (Figure 4).
3 Thinning the number of non-coding SNPs to the same size data set as the coding DNA
4 allowed us to evaluate whether these two data types provided fundamentally different
5 phylogenetic signals or simply represent different sized data partitions of the genome.
6 Despite these caveats, the distributions of node support derived from our IQ-TREE and
7 SVDquartet analyses were largely similar when considering coding and non-coding datasets
8 of equal size (~50K SNPs) (Figure 4). Pairwise t-tests indicated no significant difference
9 between the mean support values derived from these datasets. Furthermore, at both deep
10 (≥ 10 nested terminals) and shallow (< 10 nested terminals) nodes, the number and
11 distribution of support values were comparable between these two data types. However, we
12 did observe significant differences in resolution when comparing either of these smaller
13 datasets to the entire non-coding SNP dataset (1,190,719 SNPs). In all, the much larger
14 complete non-coding dataset provided better resolved trees with fewer ambiguities. As
15 coding SNPs appear to be as informative as non-coding site per site, incorporating SNPs
16 from transcriptomic data for instance could contribute to the resolution of many rapidly
17 radiating lineages including these Lake Malawi cichlids. However, the much greater amount
18 of phylogenetically informative sites obtained from non-coding SNPs suggests that whole
19 genome resequencing might be a more powerful approach for fully resolving Malawi cichlid
20 relationships as well of those of other large radiations. This approach is particularly useful for
21 phylogenetic investigation in groups for which high-quality reference genomes exist and
22 such resources are becoming more readily available, especially among vertebrates
23 (Brawand et al. 2014; Conte et al. 2019; Kautt et al. 2020). Natural selection can influence
24 the retention of standing genetic variation in both coding and non-coding sequences and
25 hybridization can also drastically alter the genetic substrate exposed to selective forces
26 (Seehausen 2004). Depending on which of these regions happen to be more greatly
27 affected, discordance in tree topology between loci may arise and ultimately impact our
28 ability to resolve clades. Given this caveat, it is likely advantageous to reconstruct
29 phylogenies using both types of sequence data whenever possible.

30 *Gene flow among hypertrophied lip Malawi cichlids*

31 Because hybridization might readily explain the appearance of hypertrophied lips in
32 both mbuna and non-mbuna clades, we conducted tests for hybridization across the Lake
33 Malawi fauna with Dsuite (Malinsky et al. 2021). This program applies ABBA-BABA tests to
34 biallelic SNPs using sets of four taxa and assumes a pectinate tree topology usually denoted
35 as $((P1,P2),P3),O$. The outgroup (O) is used to define the ancestral allele (A) from the
36 derived allele (B) and site patterns (i.e., BBAA, ABBA, and BABA) are counted across SNPs.

1 Under the null model where only ILS is present (i.e., no gene flow, $D=0$), ABBA-BABA
2 patterns are expected to occur in equal frequency, but a significant divergence from this
3 indicates potential introgression between P3 and either P1 or P2 (Malinsky et al. 2021).
4 Across the entire dataset many (x48,869) significantly different (p -value <0.001) ABBA-
5 BABA patterns were found and the D -statistic values of these ranged from 0.2258–0.0071
6 (Supplementary Table 2, Supplementary Figure 3). Similarly, Malinsky et al. (2018) also
7 inferred numerous instances of gene flow within the Malawi radiation through the analysis of
8 SNP data.

9 Yet, while introgression appears to be rampant across Lake Malawi species, *Abactochromis*
10 and the hypertrophied lip non-mbuna do not show elevated patterns of introgression with
11 each other relative to other taxa. Nevertheless, of the 1,322 statistically significant trios
12 involving potential hybridization with *A. labrosus*, 81 were suggestive of gene flow between
13 *A. labrosus* and several hypertrophied lip non-mbuna species (Supplementary Table 2).
14 However, of these, only three instances are represented among the highest 100 D -statistic
15 scores calculated across all taxa. In general, the strongest evidence for gene flow was found
16 to have occurred between the hypertrophied lip taxa and other Lake Malawi species such as
17 *A. calliptera*. (Supplementary Figure. 3).

18 *Comparisons with other lake radiations and future directions*

19 Most instances of convergent evolution have occurred in allopatric settings, but our
20 results here add to the growing number of cases of replicate evolution that have arisen
21 among closely related lineages inhabiting the same body of water (Muschick et al. 2012;
22 Hulsey et al. 2019). Our results also highlight that Lake Malawi harbors multiple
23 hypertrophied lip species while the other East African Great Lakes, Victoria and Tanganyika,
24 each have only one known species (Fryer and Iles 1972; Konings 2007; Oliver and Arnegard
25 2010). This disparity in species number may be due in part to Lake Malawi containing two
26 distinct major radiations (the mbuna and non-mbuna) as well as the fact that the many
27 isolated rocky reefs around the lake offer more extensive opportunities for adaptation and
28 acquisition of novel phenotypes. Additionally, Lake Victoria, the youngest of the three lakes,
29 has experienced the collapse of incipient species into hybrid swarms due to the loss of
30 environmental heterogeneity and clear water habitats (Seehausen et al. 1997; Meier et al.
31 2017). This rampant hybridization could explain why hypertrophied lip taxa have not evolved,
32 or at least been inferred to have evolved, repeatedly or speciated as seems to be the case
33 for Lake Malawi. In contrast, given the relatively ancient age of Lake Tanganyika compared
34 to Lakes Malawi and Victoria, additional hypertrophied lip species may have gone extinct
35 resulting in the single widespread species found today (*Lobochilotes labiatus*). Because of

1 the much longer timeframe over which the Tanganyikan radiation has evolved (Friedman et
2 al. 2013; Irisarri et al. 2018), reduced gene flow among the more ancient lineages in Lake
3 Tanganyika may have also played a role in limiting new hypertrophied lip species from
4 emerging across the phylogeny. Additionally, it is possible that the basic genetic architecture
5 underlying the hypertrophied lip phenotype is significantly different among cichlid lineages
6 and even among these three lakes (Henning et al. 2017; Kautt et al. 2020). Adaptive
7 introgression influencing the presence of enlarged lips, as a result, could be less likely
8 depending on this trait's (yet unknown) genetic complexity.

9 Future studies of the hypertrophied lip evolution in Lake Malawi cichlids should focus
10 more extensively on the genomic basis of this trait. These enlarged lips could be highly
11 polygenic or they could result from changes in only one or two loci (Colombo et al. 2013;
12 Manousaki et al. 2013; Henning et al. 2017; Kautt et al. 2020). The exact genomic
13 architecture of the hypertrophied lips in Malawi might influence how likely a single
14 hybridization event could have contributed to repeated evolution of this trait across disparate
15 phylogenetic lineages of Malawi cichlids (Riley et al. 2003; Mallet 2005; Taylor and Larson
16 2019). For instance, unlike traits that have been found to have a more polygenic basis such
17 as body shape and pharyngeal jaw morphology, GWA mapping of lip size in Central
18 American Midas cichlids has revealed high genomic associations at only two loci of major
19 effect and the potential for introgression across multiple lineages (Kautt et al. 2020). A
20 simpler genetic basis to lip size might generally allow it to readily introgress (Mallet 2005;
21 Taylor and Larson 2019). While introgression can play an integral role in shaping the
22 genomic architecture of hybridizing lineages in adaptive radiations (Edelman et al. 2019), our
23 current analyses provide no evidence that extensive interspecific introgression in Lake
24 Malawi cichlids has contributed to the within-lake convergent evolution of hypertrophied lips.

25 *Conclusion*

26 Within the Lake Malawi cichlid radiation, the evolution of hypertrophied lips has
27 occurred multiple times. Even genome-wide protein-coding SNPs exhibited power to robustly
28 reconstruct the relationships among Lake Malawi cichlids, but the much larger non-coding
29 SNP dataset provided better resolved inferences of relationships even within closely related
30 Lake Malawi species. Both coding and non-coding phylogenomic reconstructions supported
31 the monophyly of intraspecific sampling of several non-mbuna species with hypertrophied
32 lips. These species also form a relatively closely related clade interspersed with a few other
33 sand-dwelling non-hypertrophied lip lineages. Additionally, our phylogenomic and
34 comparative analyses coupled with tests for introgression are most consistent with
35 hypertrophied lips having evolved independently in the sand-dwelling non-mbuna and rock-
36 dwelling mbuna Lake Malawi cichlids. Future, whole genome-wide inference based studies

1 of Malawi cichlid relationships will continue to shed greater light on the patterns and
2 processes of phenotypic and molecular evolution in this rapidly evolving adaptive radiation.

3 **Materials and Methods**

4 *Whole genome resequencing, mapping, and variant discovery*

5 We generated new whole genome sequences for 33 individuals representing 24
6 cichlid species from Lake Malawi (Supplementary Table 1). Our samples included five of the
7 eight known Lake Malawi species with hypertrophied lips: *Abactochromis labrosus*,
8 *Cheilochromis euchilus*, *Electochromis ornatus*, *Placidochromis milomo*, and
9 *Placidochromis* “Mbenji fatlip”. High-molecular-weight DNA was extracted from fin or muscle
10 tissue using a QIAGEN Dneasy Blood & Tissue Kit while including an RNase A treatment
11 step. DNA integrity was verified on agarose gels and concentrations were determined on a
12 QuBit fluorometer. Genomic libraries were prepared with Illumina TruSeq DNA Nano kits
13 targeting insert sizes of 350-bp and then paired-end sequenced (2 x 150 bp) on Illumina
14 HiSeq platforms at the Beijing Genome Institute. Four individuals were pooled per lane with
15 the aim of generating an approximate genome coverage of 20x per individual. Our genomic
16 dataset was supplemented with additional short-read WGS data for 60 individuals
17 sequenced by Malinsky et al. (2018) and seven individuals by Scherz et al. (2022)
18 (Supplementary Table 1).

19 Following demultiplexing, unmapped BAM files were generated from the raw
20 FASTQs with Picard Tools v2.7.1 (*FastqToSam*) while marking Illumina adapters in the
21 process (*MarkIlluminaAdapters*). Reads were then converted back to FASTQ format
22 (*SamToFastq*) and mapped against the 22 chromosome assemblies of the latest version of
23 the *Maylandia zebra* reference genome (GCA_000238955.5: M_zebra_UMD2a of Conte et
24 al. 2019) using *bwa -mem* v0.7.17 (Li 2013). Metadata stored in the original unmapped BAM
25 files were then added to the aligned BAM files using Picard *MergeBamAlignment* and PCR
26 duplicates were annotated with Picard *MarkDuplicates*.

27 Variant discovery and genotype calling were performed while considering all samples
28 together using *freebayes* v1.3.1 (Garrison and Marth 2012) and implementing standard
29 quality filters (a minimum mapping quality 30 and a minimum base quality of 20). To speed
30 up variant calling, we ran *freebayes* in parallel over separate 1Mb regions spanning all 22
31 chromosomes and then concatenated the resulting VCFs into a single file with *bcftools*
32 v1.3.1 *concat*. Hard quality filters were applied using the *vcffilter* script from the *vcflib*
33 package (<https://github.com/vcflib/vcflib>) (command: `-s -f "QUAL > 1 & QUAL / AO > 10 & SAF > 0 & SAR > 0 & RPR > 1 & RPL > 1"`). The *vt* tools *normalize* and *uniq* (Tan et al.

1 2015) were then applied to normalize variants and remove duplicates. Further variant
 2 filtering was conducted with bcftools v1.11 to set individual genotypes with depth (“DP”) less
 3 than 10x or greater than 50x (approximately twice the raw mean depth per sample) and
 4 genotype quality (“GQ”) less than 30 as missing (“.”). We also included only SNPs with
 5 minor alleles present more than once (“MAC>=2”), excluded any sites at which no alternate
 6 alleles remained after the filtering above (“AC==0”) or where only alternate alleles were
 7 called (“AC==AN”), and removed possible false positive singletons by excluding sites with a
 8 minor allele frequency of less than or equal to 5% (“MAF<=0.05”). Lastly, we excluded sites
 9 with more than 20% missing data (“F_MISSING>0.2”) and/or that were not biallelic SNPs (“-
 10 m2 -M2 -v snps”). This filtering scheme yielded a master VCF file containing 1,352,537
 11 SNPs which was subsequently divided into separate datasets containing SNPs from non-
 12 coding and coding regions based on CDS annotations in the *M. zebra* reference genome
 13 (Conte et al. 2019). SNPs in these parsed datasets were further filtered based on linkage
 14 disequilibrium (LD) with bcftools 1.11-88 (Li et al. 2009; Danecek et al. 2021) using the
 15 +prune plugin. For each dataset, the squared correlation (r^2) between alleles at each pair of
 16 loci within windows of 500 kb (+prune parameter --window 500,000) was calculated and
 17 highly linked SNPs ($r^2 > 0.9$; +prune parameter --max 0.9) were discarded. This reduced the
 18 size of the non-coding and coding datasets to 1,190,719 and 54,021 SNPs, respectively,
 19 which were lastly converted into NEXUS and PHYLIP formatted alignments with IUPAC
 20 ambiguity codes applied to heterozygous sites using the python script vcf2phylip.py (Ortiz
 21 2019) (<https://github.com/edgardomortiz/vcf2phylip>).

22

23 *Phylogenomic analysis*

24 To assess which hypertrophied lip species of cichlids in Lake Malawi compose
 25 monophyletic groups, phylogenetic analyses were conducted on the non-coding and coding
 26 datasets with *Astatotilapia bloeyti* (a non-Lake Malawi species) designated as an outgroup.
 27 Maximum likelihood trees were inferred from the SNP datasets using IQ-TREE v1.6.12
 28 (Nguyen et al. 2015). For this analysis, the ascertainment bias correction was applied to
 29 correct for the absence of invariant sites in the sequence alignment (command -m
 30 MFP+ASC) (Kalyaanamoorthy et al. 2017) and 1,000 ultrafast bootstrap replicates
 31 (command -bb 1000; UFBoot Minh et al. 2013) were performed to assess branch support.

32 To augment our inferences of relationships, we also used SVDquartets (Chifman and
 33 Kubatko 2014) as implemented in PAUP* v4.0a166 (Swofford 2002) to reconstruct the
 34 species tree from the non-coding and coding datasets under its coalescent-based
 35 framework. Unlike summary methods that rely on *a priori* reconstructed gene trees to

1 estimate the species tree, this program uses sequence data directly to infer quartet trees
2 and performs well even in the presence of gene flow (Long and Kubatko 2018). For our
3 analysis, the multispecies coalescent tree model was selected and individual samples were
4 each assigned to a taxon partition (i.e., their respective species). We exhaustively sampled
5 all quartets (3,751,519 in total) and inferred the species tree using the Quartet FM algorithm
6 (QFM; Reaz et al. 2014). Subsequently, one hundred bootstrap replicates for each data
7 partition were carried out to assess branch support.

8 After obtaining reconstructions from these analyses, we compared the resolving
9 power of coding versus non-coding SNPs at various nodal depths. Ultrafast bootstrap values
10 from IQ-TREE and bootstrap support values from SVDquartet were compared across the
11 analyses conducted with the coding and non-coding datasets. For a fair comparison
12 between the contrasting datasets, we thinned the VCF file containing only the non-coding
13 SNPs to a size comparable to that of the coding dataset (~x50k SNPs) using the bcftools
14 +prune plugin. For these comparisons, nodes that have ten or more nested terminal
15 branches were referred to as “deep” and those with fewer than ten tips as “shallow”.
16 Significance was assessed using pairwise t-tests of mean support values ($\alpha=0.05$) as
17 implemented by the 'stat_compare_means' function of the R package *ggpubr* (Kassambara
18 2020).

19 Maximum likelihood reconstruction of ancestral states was performed using the
20 'fastAnc' function of RStudio package *phytools* (Revell 2012). The presence and absence of
21 hypertrophied lips was categorized as a discrete variable and the transition probabilities
22 between these two states were considered to be equal. The probabilities of lineages
23 possessing either hypertrophied lips or not at each node for the non-coding SNP-based tree
24 derived with IQ-TREE are displayed in Supplementary Figure 2 and are represented for
25 relevant nodes as pie-diagrams in Figure 2.

26 *Analysis of gene flow*

27 Because we found hypertrophied lip cichlid species of Lake Malawi to fall within both
28 the mbuna and non-mbuna radiations, we investigated their genomic histories further. To
29 assess the degree of interspecific gene flow across all ingroup taxa including that between
30 hypertrophied lip species, we calculated genome-wide Patterson's *D* (ABBA/BABA) statistics
31 as implemented in the program Dsuite (Malinsky et al. 2021). This test is applied to biallelic
32 SNPs across four taxa and assumes a pectinate tree topology ordered as (((P1,P2),P3),O).
33 The outgroup (O) helps to define the ancestral allele (A) from the derived allele (B) and site
34 patterns (BBAA, ABBA, and BABA) for each SNP are counted. Under the null model where
35 only ILS is present (i.e., no gene flow, $D=0$), ABBA-BABA patterns are expected to occur

1 with equal frequency, but a significant divergence from this indicates that introgression may
2 have happened between P3 and either P1 or P2 (Malinsky et al. 2021). Using the 1,352,537
3 SNPs from the master VCF file and *Astatotilapia bloyeti* set as the outgroup, we assessed all
4 possible three taxon combinations (102,340 in total) with the 'Dtrios' function. Each trio was
5 ordered so that the BBAA pattern was maximized in the output. Standard jackknife blocks
6 (x20) were used to determine if the resulting *D*-statistic values differed significantly from
7 zero. To account for multiple tests, *p*-values were adjusted in RStudio 4.0.3 by applying the
8 false discovery rate method of Benjamini and Hochberg (1995) with the *stats* package
9 (command: `p.adjust(p_values, method = "fdr")`). An α of 0.001 was applied to identify
10 statistically significant *D*-statistic values. To visualize species pairwise comparisons of *D*-
11 statistic scores, a heatmap was generated using the Ruby script `plot_d.rb` (available at:
12 <https://github.com/mmatschiner>).

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17 suggestions pertaining to this research.

18 **Author Contributions**

19 C.D.H. conceived the project and collected the samples. P.M. conducted the data
20 processing and analyses. P.M. and C.D.H. drafted the manuscript. All authors read, revised,
21 and approved the final manuscript.

22 **Data Availability**

23 Raw whole genome resequencing reads for the 33 newly sequenced taxa have been
24 deposited in NCBI's Sequence Read Archive (See Supplementary Table 1). Tree files
25 obtained from our analyses are available as supplementary material.

26

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- 7
- 8

ACCEPTED MANUSCRIPT

1 **Figure Legends**

2 **Figure 1.**

3 Phylogenetic reconstruction and our ability to infer convergence could be impacted heavily
4 by genomic introgression. Illustrated are three hypothetical scenarios showing the extent that
5 introgression could affect tree inference in the Lake Malawi cichlid radiation. In each panel,
6 the true evolutionary history (the topology of which remains the same across A–C) is
7 depicted on the left and the resulting phylogenetic reconstruction influenced by different
8 degrees of hybridization is shown on the right. Taxa possessing hypertrophied lips are
9 indicated with stars. Red lines denote prior hybridization events and blue bars represent
10 possible origins of the hypertrophied lip phenotype. Incongruence between the true history
11 and the reconstructed tree is shown with gray lines. In (A), phylogenetic resolution is
12 completely obscured by factors such as widespread hybridization and incomplete lineage
13 sorting resulting in the failure to recover conspecifics as monophyletic groups. Convergence
14 of the hypertrophied lip phenotype cannot be tested with bifurcating phylogeny-based
15 comparative methods under this scenario. In (B), some phylogenetic structure is detectable,
16 but clarity is insufficient to resolve many relationships among hypertrophied lip species.
17 Convergence is difficult to examine due to introgression and the inability to recover
18 conspecifics as monophyletic. (C) Despite some gene flow, phylogenetic structure is clearly
19 resolved, conspecifics are recovered as monophyletic, and there is a clear indication that
20 hypertrophied lips are independently derived in disparate lineages.

21

22 **Figure 2.**

23 Species tree reconstruction of cichlids from African Lake Malawi based on maximum
24 likelihood analysis in IQ-TREE of 1,107,249 non-coding SNPs. Ultrafast bootstrap support
25 values are displayed for each branch. Taxa possessing hypertrophied lips, denoted in bold,
26 are recovered in largely two positions in the phylogeny. Notably, intraspecific sampling of
27 these species recovered monophyletic groupings. Pie-diagrams represent the probability
28 that the ancestral condition for select nodes was hypertrophied lips (indicated in blue). Node
29 A: MRCA of all Lake Malawi hypertrophied species, node B: MRCA of the rock-dwelling
30 mbuna (green shading) radiation, node C: MRCA of the sand-dwelling non-mbuna (sand
31 shading), node D: MCRA of the non-mbuna hypertrophied lip fauna. The five hypertrophied
32 Lake Malawi species sampled are pictured to the right of the phylogeny.

33

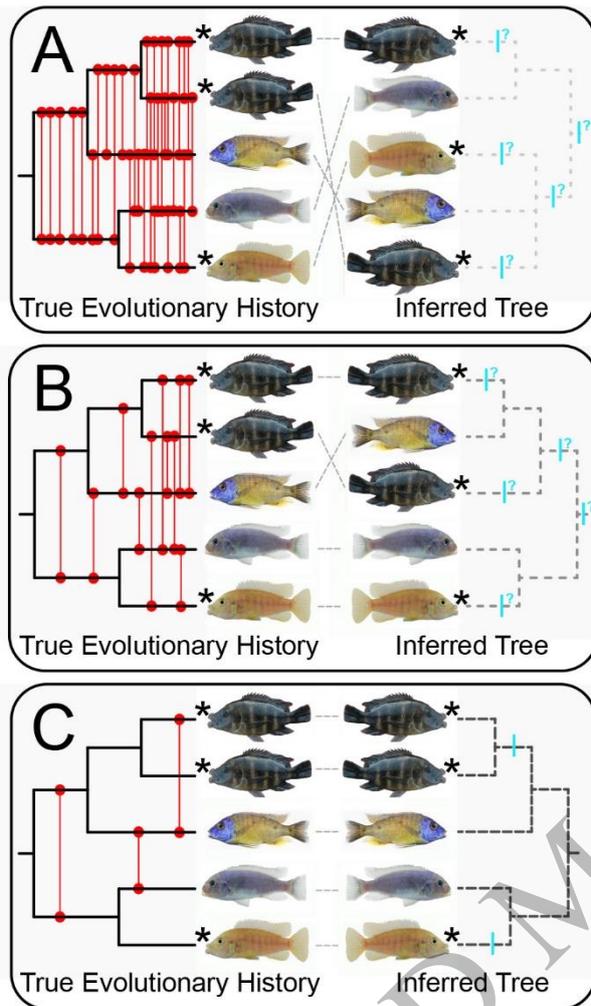
1 Figure 3.

2 Relationships of Lake Malawi species based on analysis with SVDquartets. The
3 reconstructions based on non-coding versus coding SNPs are compared. Dotted lines are
4 used to connect species that exhibit slightly divergent phylogenetic relationships based on
5 the two data types. Bootstrap values are indicated for each branch. Node A: MRCA of all
6 Lake Malawi hypertrophied species, node B: MRCA of the rock-dwelling mbuna radiation
7 (green shading), node C: MRCA of the sand-dwelling haplochromine non-mbuna (sand
8 shading), node D: MCRA of the non-mbuna hypertrophied lip fauna.

9

10 Figure 4.

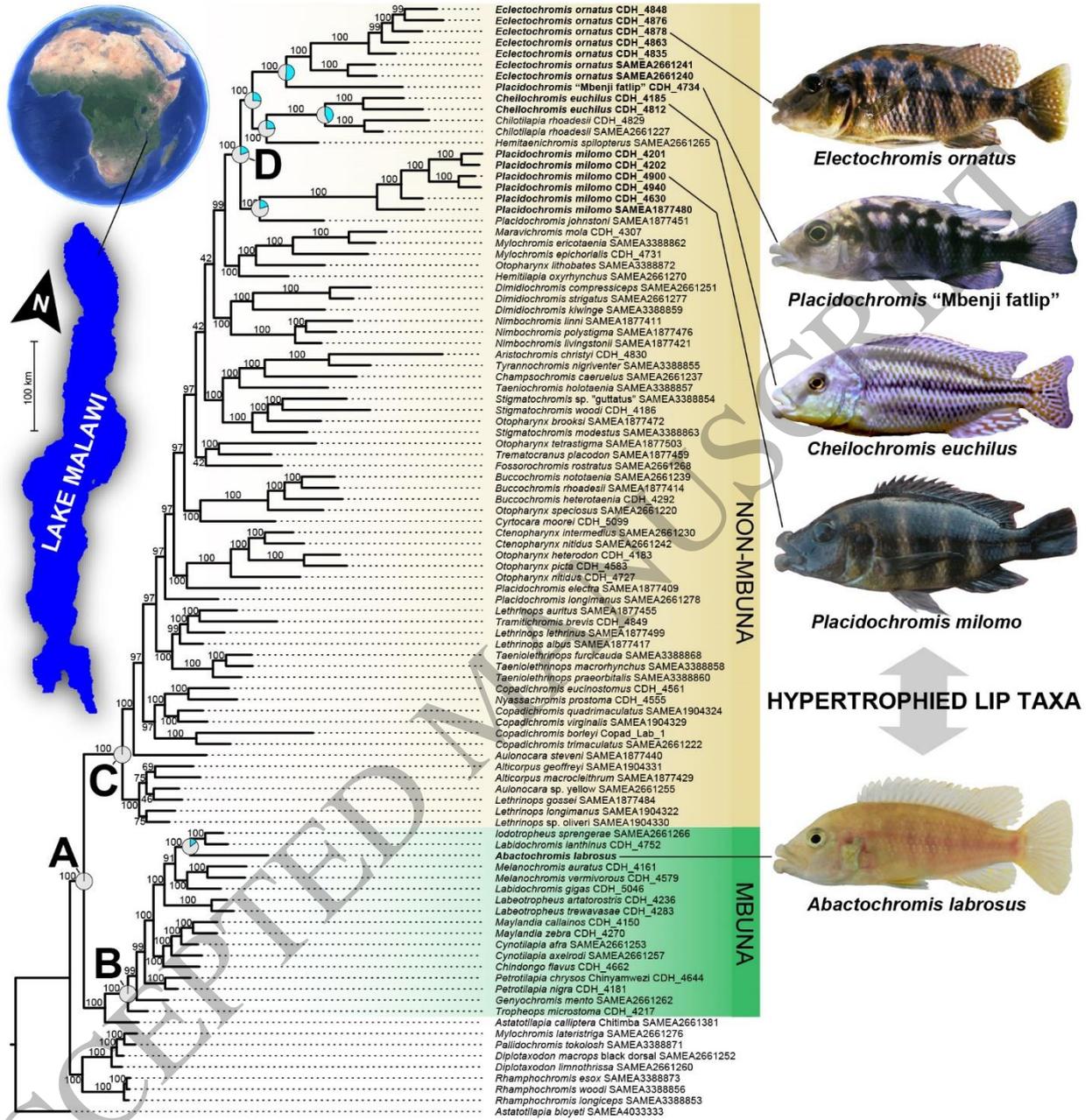
11 Expectations based on the literature of the resolving power of coding (cds) versus unthinned
12 and thinned non-coding (non-cds) SNPs across different nodal depths are depicted. The
13 entire non-coding dataset contained ~1.19 million SNPs. The thinned non-coding dataset
14 was down-sampled to the same size as the coding dataset (~50k SNPs). The different
15 datasets are color-coded in all panels (cds = red, unthinned non-cds = blue, and thinned cds
16 = purple). In the left panels, the y-axis corresponds to ultrafast bootstrap values from the IQ-
17 TREE analyses, and in the right panels, bootstrap support values from the SVDquartet
18 analyses are shown with boxplots. Deep nodes refer to those in our phylogenies that have
19 10 or more nested terminal branches and shallow nodes are those that join fewer than ten
20 tips. Ranges of support are also displayed exclusively for nodes within the clade of
21 hypertrophied lip non-mbuna (see Node D Figures 1 and 2). Significance was assessed
22 using pairwise t-tests of mean support values ($\alpha=0.05$).



1
2 Figure 1.

Phylogenomics of hypertrophied lip convergence in Lake Malawi cichlids

1



2

3 Figure 2.

SVD-QUARTETS SPECIES TREES

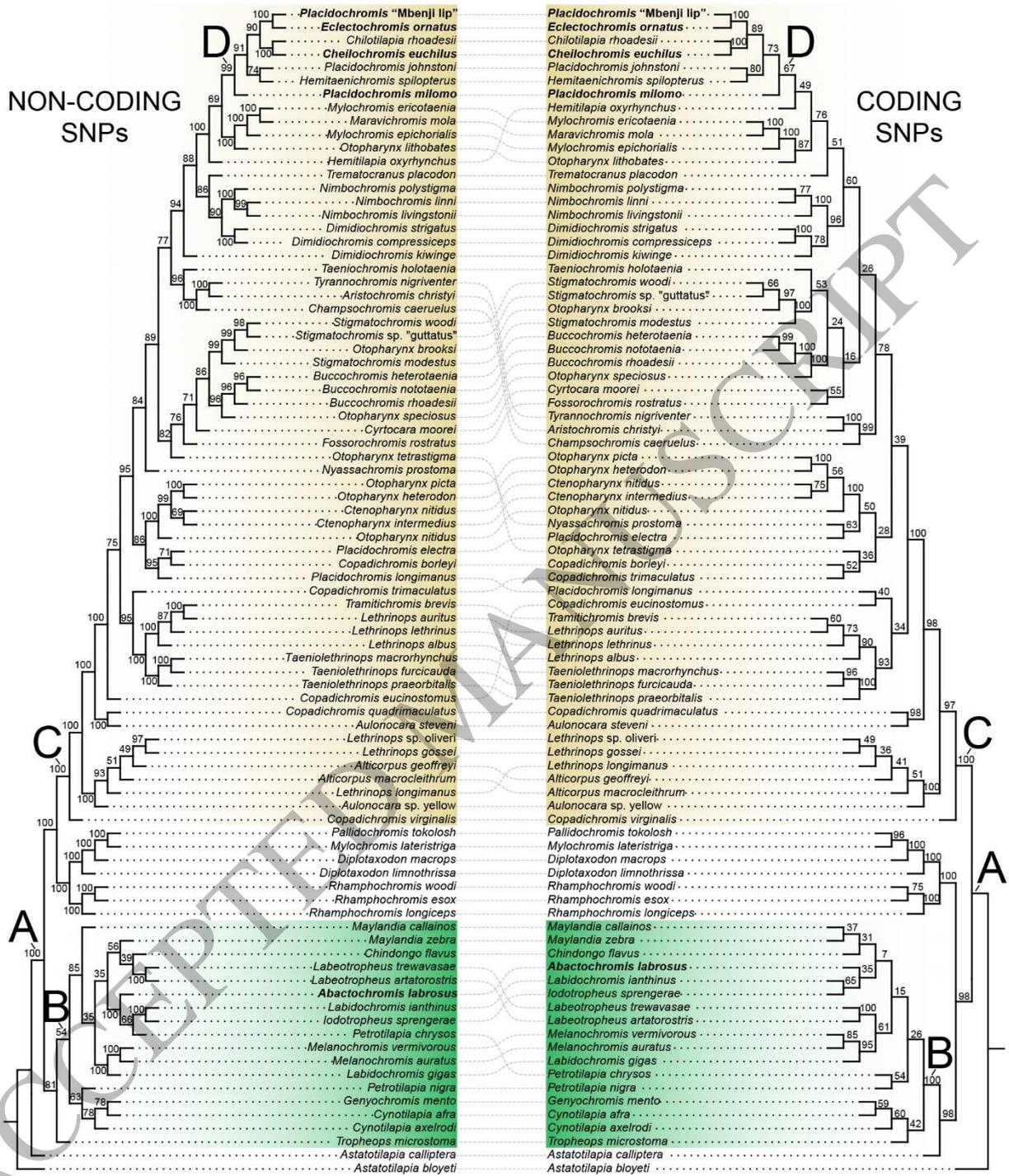
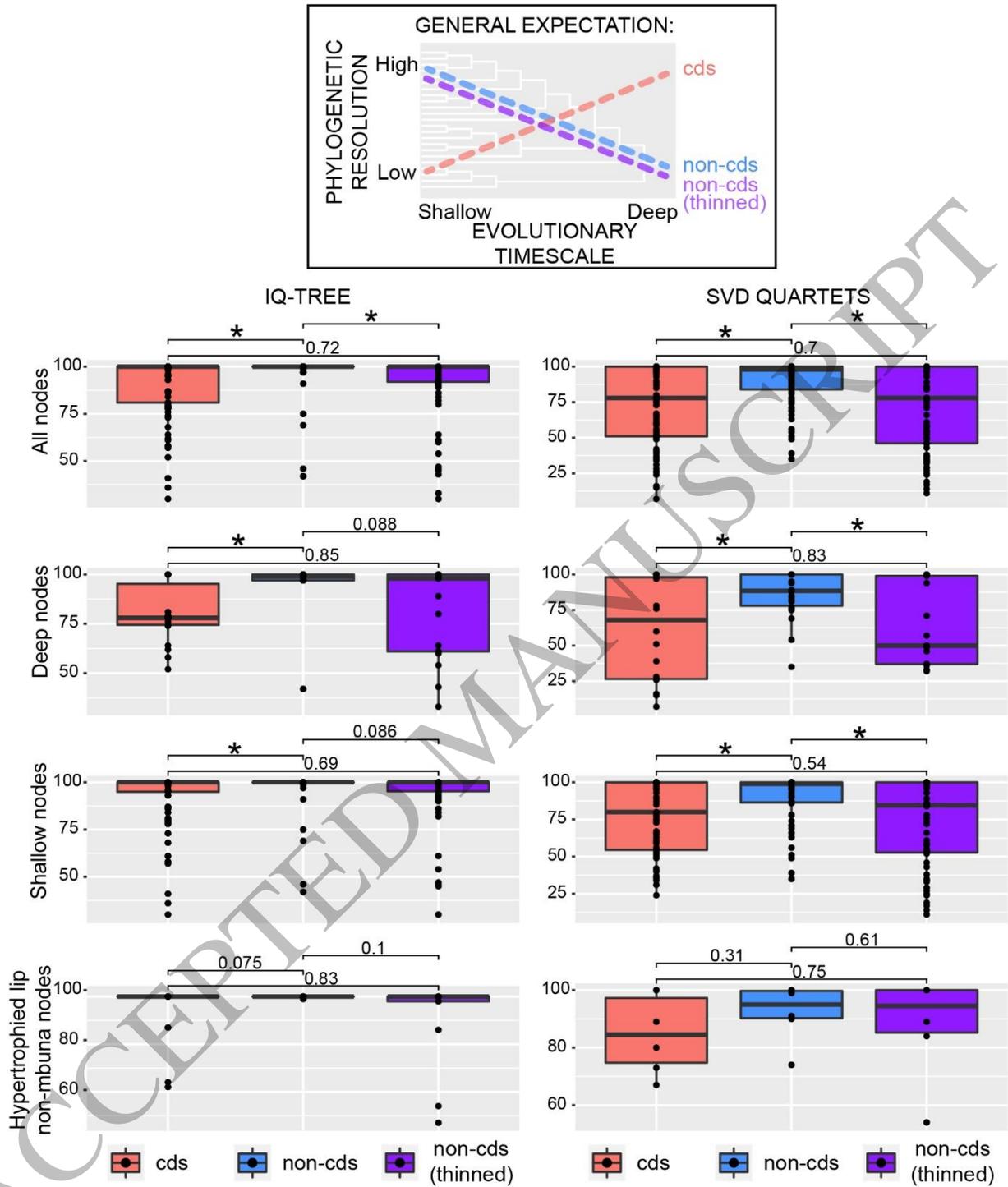


Figure 3.



1
2 Figure 4.